formation by decreasing the electronic density of the carbonyl group and by decreasing the electronic density of the hydroxy group. This makes the phenolic group a stronger acid, and consequently leads to a strong hydrogen bond. The electrondonating R substituents exert an opposite effect.

The log  $k_0$  values were then correlated by a modified Hammett equation (eq 6) that gives rise to the values in eq 7. The values show a positive contribution of  $\sigma_m$  and a positive contribution of  $\sigma_p$ . The contribution of  $\sigma_p$  is higher than the contribution of  $\sigma_{\rm m}$ .

Acknowledgment. The author is indebted to Dr. Luiz Juliano Neto for helpful comments concerning this work.

Registry No.-Phenylhydrazine, 100-63-0; p-cyanophenyl acetate, 13031-41-9; 2'-hydroxy-5'-chloroacetophenone phenylhydrazone, 67338-35-6; 2'-hydroxy-5'-cyanoacetophenone phenylhydrazone, 67338-36-7.

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# Catalysis in Aromatic Nucleophilic Substitution. 3.1 Reactions of Piperidine with 2-Methoxy-3-nitrothiophene and 2-Methoxy-5-nitrothiophene in Methanol

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## R. Noto

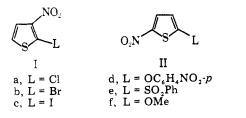
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#### Received March 27, 1978

The kinetics of piperidino substitution of 2-methoxy-3-nitrothiophene (If) and of 2-methoxy-5-nitrothiophene (IIf) have been studied in methanol as a function of amine concentration. The reaction of IIf is second order overall, whereas that of If is base catalyzed. Kinetic data are presented which show that sodium methoxide (added or deriving from the reaction of piperidine with methanol) is the only effective catalyst. The complex kinetic system resulting from the competition, at high sodium methoxide concentrations, between the reactions of If respectively with piperidine and with sodium methoxide (Meisenheimer-type adduct formation) has been computer analyzed and the rate coefficients for the single reactions have been estimated. The whole of the data obtained shows that acid catalysis of leaving-group departure by the conjugated acid of piperidine does not occur or is insignificant. The special role of the activating nitro group is discussed.

A recent study<sup>1</sup> of piperidino substitutions of some 2-L-3-nitro- (Ia-e) and 2-L-5-nitrothiophenes (IIa-e), in benzene and in methanol, has shown that only the reactions of compounds IId,e in benzene are piperidine catalyzed due to the poor nucleofugicity of *p*-nitrophenoxy and phenylsulfonyl groups in this solvent; while in compounds Ia-e the o-nitro group can assist the intermediate decomposition in both sol $vents.^2$ 

For piperidino substitutions in methanol, we now wish to report straightforward second-order kinetic behavior for 2methoxy-5-nitrothiophene (IIf) but base catalysis for 2methoxy-3-nitrothiophene (If). The role played by the posi-



tion of activating nitro group (hyper-ortho or quasi-para) in determining the occurrence of base catalysis will be discussed.

#### **Results and Discussion**

Products. Compounds If and IIf gave the corresponding substitution products with piperidine in high yields (>95%) as shown by TLC and/or UV-visible spectral analysis of the reaction mixtures at infinity.

Reaction of IIf with Piperidine. The apparent secondorder kinetic constant,  $k_A$ , for the piperidino substitution of IIf in methanol at 20 °C (Table I) is independent of the initial piperidine concentration over a tenfold change.

There can be little doubt that this reaction is second order overall, first order both in substrate and in nucleophile, and that there is no measurable catalysis by the amine acting as a base. This corresponds to the situation where  $k_A = k_1$  (see below).

Reaction of If with Piperidine. Items 1-6, 8-10, 12, 14, and 16 in Table II show that  $k_A$  increases in a curvilinear

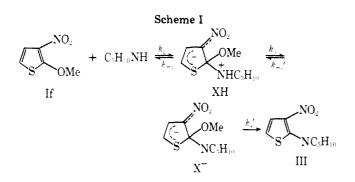
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Catalysis in Aromatic Nucleophilic Substitution

Table I. Kinetic and Activation Parameters<sup>a</sup> for theReaction of 2-Methoxy-5-nitrothiophene (IIf) withPiperidine in Methanol

						-
[pip], M	0.0203	***				
$10^{3}k$ , ${ m M}^{-1}$	1.12 <sup>b</sup>	$2.19^{\circ}$	4.02 <sup>d</sup>	$1.11^{b}$	$1.11^{b}$	
·· −1						

<sup>a</sup>  $\Delta H^{\pm} = 11.1$  kcal/mol at 20 °C (maximum error 0.5 kcal/mol);  $\Delta S^{\pm} = -34$  eu at 20 °C. <sup>b</sup> At 20.1 °C. <sup>c</sup> At 30.1 °C. <sup>d</sup> At 40.1 °C.



fashion with increasing piperidine concentration (Figure 1). On the other hand,  $k_A$  is much lower in the presence of piperidine hydrochloride (items 25–29).

Since a principal effect of this salt is to reduce the concentration of methoxide ion, the increase in  $k_A$  with piperidine concentration could be due mainly to catalysis by methoxide ion, a catalyst much more efficient than piperidine.

$$C_5H_{10}NH + MeOH \stackrel{K_b}{\longleftrightarrow} C_5H_{10}NH_2^+ + MeO^-$$
(1)

Kinetic runs performed in the presence of added sodium methoxide (items 7, 11, 13, 15, 17–24) show that, at constant piperidine concentration,  $k_A$  increases with increasing methoxide ion concentration. Moreover, items 7 and 8, 10 and 11, 12 and 13, and 20 and 21 point out that piperidine catalysis is unlikely to occur in any great extent. Finally, items 26 and 29–31 show that the reaction is influenced by the ionic strength.

With reference to Scheme I, the general expression for  $k_A$ , in terms of rate coefficients for specific steps, is<sup>3</sup>

$$k_{\rm A} = k_1 k_3' k_4' / (k_{-1} k_{-3}' + k_{-1} k_4' + k_3' k_4') \tag{2}$$

with

$$k_{3}' = k_{3} + \sum_{i=1}^{n} k_{3}^{\mathbf{B}_{i}}[\mathbf{B}_{i}]$$
(3)

$$k_{-3}' = k_{-3} + \sum_{i=1}^{n} k_{-3}^{\mathbf{B}_i} [\mathbf{B}\mathbf{H}_i]$$
(4)

where  $k_3$  and  $k_3^{B_i}$  refer to the deprotonation of XH by the solvent and by any general base,  $B_i$ , and  $k_{-3}$ ,  $k_{-3}^{B_i}$  refer to the protonation of X<sup>-</sup> by the solvent and by any general acid, BH<sub>i</sub>, respectively. Assuming  $k_{-3'} \gg k_4'$  (SB-GA mechanism)<sup>4</sup> leads to the following simplification of eq 2

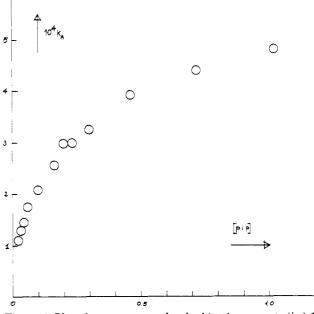
$$k_{\rm A} = k_1 K_3' k_4' / (k_{-1} + K_3' k_4') \tag{5}$$

where

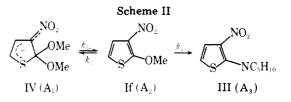
$$K_{3}' = k_{3}'/k_{-3}' = k_{3}^{\text{MeO}}[\text{MeO}^{-}]/k_{-3}^{\text{MeO}}$$
  
=  $k_{3}^{\text{pip}}[\text{pip}]/k_{-3}^{\text{pip}}[\text{pipH}^{+}]$   
=  $k_{3}/k_{-3}[\text{MeOH}_{2}^{+}] = K_{X}/[\text{MeOH}_{2}^{+}]$  (6)

If, on the contrary, one assumes  $k_{-3} \ll k_4'$ , eq 2 reduces to

$$k_{\rm A} = k_1 k_3' / (k_{-1} + k_3') = k_1 (k_3 + k_3^{\rm MeO} [\text{MeO}^-] + k_3^{\rm pip} [\text{pip}]) / (k_{-1} + k_3 + k_3^{\rm MeO} [\text{MeO}^-] + k_3^{\rm pip} [\text{pip}])$$
(7)



**Figure 1.** Plot of apparent second-order kinetic constants  $(k_A)$  for the piperidino substitution of If in methanol at 20 °C vs. piperidine concentration.



With respect to the dependence of  $k_A$  on  $[B_i]$ , eq 5 and 7 are formally identical. As a consequence, one can write

$$k_{\rm A} = a(b + cx_1 + dx_2)/(1 + b + cx_1 + dx_2) \tag{8}$$

where  $x_1 = [pip]$  and  $x_2 = [MeO^-]$ .

We have fitted our kinetic data to eq 8 using a least-squares method<sup>5</sup> and the results of the correlation are reported in Table III. It is evident that there is no significant contribution to  $k_A$  from the solvent- and/or the piperidine-catalyzed pathway. Thus, the reaction of If with piperidine is catalyzed by methoxide ion in methanol at 20 °C according to the catalysis law

$$k_{\rm A} = k_1 k^* [{\rm MeO^-}] / (1 + k^* [{\rm MeO^-}])$$
 (9)

with  $k_1 = 8.15 \times 10^{-4} \text{ M}^{-1} \text{ s}^{-1}$  and  $k^* = 489 \text{ M}^{-1}$  (Table III, line five).<sup>6</sup>

Kinetic Measurements at High Sodium Methoxide Concentrations. Recently<sup>8</sup> we have studied the kinetics and equilibrium for combination of sodium methoxide with If to form the Meisenheimer-type adduct IV.

At methoxide ion concentrations as high as 0.1 M, the piperidino substitution reaction of If has to be treated according to Scheme II.<sup>9</sup> Assuming that each step behaves as a first-order reaction, the differential eq 10–12 are obtained.

$$dA_1/dt = k_{21}A_2 - k_{12}A_1 \tag{10}$$

(12)

$$-dA_{2}/dt = k_{21}A_{2} + k_{23}A_{2} - k_{12}A_{1}$$
(11)

$$\mathrm{d}A_3/\mathrm{d}t = k_{23}A_2$$

Integration according to a general method<sup>10</sup> gives

$$A_1 = A_2^{0} k_{21} (e^{-\lambda_3 t} - e^{-\lambda_2 t}) / (\lambda_2 - \lambda_3)$$
(13)

Table II. Kinetic and Apparent Activation Parameters for the Reaction of 2-Methoxy-3-nitrothiophene (If) with					
Piperidine in Methanol <sup>a</sup> at 20 °C					

no.	[pip], M	10 <sup>3</sup> [MeONa], <sup>b</sup> M	10 <sup>3</sup> [MeO <sup>-</sup> ], <sup>c</sup> M	$10^{4}k_{\rm A}, M^{-1} {\rm s}^{-1}$	$(10^4 k_{\rm A})_{\rm calcd}^{\ d} M^{-1} { m s}^{-1}$	$\Delta H^{\pm,e}$ kcal/mol	$-\Delta S^{\pm},$ eu
1	0.0206		0.384	1.09			
2	0.0304		0.467	1.29		6.1	56
3	0.0450		0.569	1.45		6.9	53
4	0.0617		0.667	1.76		7.6	50
5	0.101		0.855	2.07		8.2	47
6	0.158		1.07	2.56	2.80	8.4	46
7	0.0298	1.00	1.18	2.83	2.98		
8	0.202		1.21	2.98	3.03		
9	0.234		1.30	2.99	3.17	9.0	44
10	0.298		1.47	3.26	3.41	9.1	43
11	0.102	0.940	1.45	3.39	3.38		
12	0.458		1.82	3.92	3.84	9.8	41
13	0.254	0.940	1.91	4.00	3.93		
14	0.717		2.28	4.40	4.29	10.1	39
15	0.508	0.940	2.45	4.57	4.44		
16	1.02		2.72	4.82	4.65	10.0	39
17	0.0298	3.00	3.07	5.02	4.89		
18	0.0298	4.00	4.05	5.51	5.41		
19	0.0298	5.00	5.04	5.83	5.79		
20	0.0298	5.64	5.70	6.01	6.00		
21	0.202	5.25	5.52	6.06	5.94	12.5	30
22	0.0508	7.52	7.57	6.34	6.41		
23	0.0508	9.40	9.44	6.61	6.70		
24	0.202	10.5	10.6	6.65	6.83	13.0	29
25	$0.200^{g}$		0.0146	0.686			
26	$0.200^{h}$		0.0292	0.750			
27	$0.200^{i}$		0.0730	0.897			
28	$0.200^{j}$		0.146	1.13			
29	0.400 <sup>g</sup>		0.0292	1.25			
30	$0.202^{k}$		1.21	5.05			
31	$0.202^{l}$		1.21	5.22			

<sup>*a*</sup> The apparent activation parameters have been obtained from measurements at three temperatures in the range 20–40 °C. These further kinetic data are given in the microfilm edition of this volume of the journal. See the Supplementary Material available paragraph. <sup>*b*</sup> Added sodium methoxide. <sup>c</sup> Total methoxide ion; values calculated using  $K_b = 7.3 \times 10^{-6}$  [J. R. Schaefgen, M. S. Newman, and F. H. Verhoek, J. Am. Chem. Soc., **66**, 1847 (1944)]. <sup>*d*</sup> Values calculated by eq 9. <sup>*e*</sup> At 20 °C, the probable error is ±0.5 kcal/mol. <sup>*f*</sup> At 20 °C. <sup>*f*</sup> Piperidine hydrochloride (pipHCl) = 0.1 M. <sup>*h*</sup> [pipHCl] = 0.05 M. <sup>*i*</sup> [pipHCl] = 0.02 M. <sup>*j*</sup> [pipHCl] = 0.01 M. <sup>*k*</sup> Sodium acetate = 0.1 M. <sup>*k*</sup> Sodium perchlorate = 0.1 M.

Table III. Results of Least-Squares Fitting to Equation 8 of Apparent Second-Order Kinetic Constants,  $k_A$ , for thePiperidino Substitution of If in Methanol, at 20.0 °C<sup>a</sup>

constraints	$\frac{10^4 (a \pm s_a)}{M^{-1} s^{-1}},$	$b \pm s_b$	$c \pm s_{c}, \\ \mathbf{M}^{-1}$	$\begin{array}{c} d \pm s_{\rm d}, \\ {\rm M}^{-1} \end{array}$	items
none	$7.95 \pm 0.13$	$0.00 \pm 0.02$	$0.05 \pm 0.08$	$554 \pm 40$	1 - 24
b = 0	$8.44 \pm 0.17$		$0.14 \pm 0.07$	$423 \pm 25$	1 - 24
c = 0	$7.91 \pm 0.11$	$0.00 \pm 0.02$		$573 \pm 30$	1 - 24
b = 0; c = 0	$8.32 \pm 0.15$			$455 \pm 20$	1-24
b = 0; c = 0	$8.15 \pm 0.13$			$489 \pm 20$	6 - 24

 $^{a} s_{a}, s_{b}, s_{c}$ , and  $s_{d}$  are the standard errors of a, b, c, and d, respectively.

$$A_{2} = A_{2}^{0} \{ [(k_{12} - \lambda_{3})e^{-\lambda_{3}t}/(\lambda_{2} - \lambda_{3})] - [(k_{12} - \lambda_{2})e^{-\lambda_{2}t}/(\lambda_{2} - \lambda_{3})] \}$$
(14)  
$$A_{2} = A_{2}^{0} \{ 1 + [k_{22}(k_{12} - \lambda_{2})e^{-\lambda_{2}t}/(\lambda_{2} - \lambda_{2})\lambda_{2}] \}$$

$$= \frac{1}{[k_{23}(k_{12} - \lambda_3)e^{-\lambda_3/\lambda_2}]} - \frac{1}{[k_{23}(k_{12} - \lambda_3)e^{-\lambda_3/\lambda_3}(\lambda_2 - \lambda_3)]}$$
(15)

where  $\lambda_2 = (p+q)/2$ ;  $\lambda_3 = (p-q)/2$ ;  $p = k_{12} + k_{21} + k_{23}$ ;  $q = p^2 - [4k_{12}k_{23}]^{1/2}$ . By measuring, at different intervals, the optical densities of the reaction mixtures at the wavelengths of the absorption maxima of III and IV, respectively, the concentrations of each compound can be easily determined according to eq 16 and 17

$$D_1 = \epsilon_{11}A_1 + \epsilon_{12}A_2 + \epsilon_{13}A_3 \tag{16}$$

$$D_2 = \epsilon_{21}A_1 + \epsilon_{22}A_2 + \epsilon_{23}A_3 \tag{17}$$

where  $\epsilon_{1j}$  and  $\epsilon_{2j}$  (j = 1, 2, 3) are the molar extinction coefficients of  $A_j$ , respectively, at 340 and 398 nm.<sup>8,11</sup>

Applying the above curve-fitting method to eq  $15^{12}$  gives the results set forth in Table IV. The  $k_{23}$  values in Table IV give second-order kinetic constants,  $k_A$ , in excellent agreement with  $k_1$  (eq 9) obtained from kinetic measurements at low methoxide ion concentrations and provide a check of the internal consistency of our results. On the other hand, the  $k_{12}$ and  $k_{21}$  values in Table IV compare well with those previously obtained.<sup>8</sup>

**Implications.** Catalysis by methoxide ion in the reaction of If with piperidine in methanol is observable as long as  $k_{-1}$ is larger than or similar to  $K_3'k_4'$  or  $k_3'$  (Scheme I). Since piperidino substitution reactions of compounds Ia-e in methanol are not catalyzed,<sup>1</sup> base assistance in the substitution of methoxy leaving group could be, in principle, needed because

Table IV. Results of Least-Squares Fitting to Equation 15 of Experimental A3 values" at 20 °C						
[MeONa]	$10^5 k_{12},  \mathrm{s}^{-1}$	$10^4 k_{21}$ , s <sup>-1</sup>	$10^4 k_{23}$ , s <sup>-1</sup>	$10^{3}k_{\rm f}, \ { m M}^{-1}{ m s}^{-1}$	$10^4 k_{\rm A}, \\ M^{-1}  {\rm s}^{-1}$	$\frac{K_e^b}{M^{-1}}$
$0.102 \\ 0.205$	9.99(1.25) 6.69(0.13)	1.55 (0.16) 3.28 (0.35)	1.73(0.07) 1.66(0.11)	$1.52 \\ 1.60$	$8.40 \\ 8.06$	$15 \\ 24$

Table IV Results of Least-Squares Fitting to Equation 15 of Experimental A. Values at 20 °C

<sup>a</sup> [pip] = 0.206 M; the values in parentheses are standard errors; number of data points, 20. <sup>b</sup>  $K_e = k_f/k_{12}$ .

of a larger  $k_{-1}$  and/or a lower  $K_{3'}k_{4'}$  (or  $k_{3'}$ ) for methoxy than for the other leaving groups studied.

According to Bernasconi<sup>4</sup>  $k_{-1}$  should be little affected by leaving group variation. In our opinion, a particular situation occurs in o-nitro activated S<sub>N</sub>Ar of thiophene compounds. The hyper-ortho relation<sup>13</sup> between substituents linked to 2 and 3 carbon atoms of the thiophene ring makes the first transition state for piperidino substitution of If closely resemble the reaction intermediate.<sup>14</sup> As a consequence, the two transition states involved in the addition-elimination mechanism could be of similar importance in determining the overall reaction rate.

The quasi-para nitro substituted isomer IIf lacks this through-conjugation factor and the absence of catalysis is accordingly accounted for.

Assuming the SB-GA mechanism for base catalysis implies  $k_{-1} \gg K_3 k_4$ , indeed,  $k_4$  refers to a bond-breaking process and it is likely to be quite low with the poor methoxy leaving group.<sup>16</sup>

The piperidino substitution of If in methanol is not catalyzed by acetate ion. In fact, the rate-enhancing effect of sodium acetate is comparable with that produced by sodium perchlorate (items 30 and 31, Table II) and undoubtedly represents the medium effect of an "inert" salt. The absence of catalysis by piperidine and acetate ion could be explained on grounds of low  $k_3^{B_1}$  values (Scheme I) for these bases.<sup>19</sup>

In view of recent discussions on this subject,<sup>21</sup> our results could imply, alternatively, the absence of general base catalysis and the operation of specific base catalysis (SB) by methoxide ion.

Conclusions. The piperidino substitution reaction of 2methoxy-3-nitrothiophene in methanol constitutes the first exemplum of o-mononitro activated S<sub>N</sub>Ar for which base catalysis is needed. The hyper-ortho relation is the major factor implied. All of the data obtained agree with a SB (SB-GA) mechanism for base catalysis.

### **Experimental Section**

Materials. Compounds If,<sup>22</sup> IIf,<sup>23</sup> piperidine,<sup>11</sup> and methanol<sup>11</sup> were prepared and/or purified according to the methods reported.

Kinetic Measurements. The kinetics were followed spectrophotometrically as previously described.<sup>1,24</sup> The concentrations used were  $1 \times 10^{-3}$  M for substrates and those indicated in the tables for piperidine, sodium methoxide, piperidine hydrochloride, sodium acetate, and sodium perchlorate. The rate constants are accurate to within +3%

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Registry No .--- If, 30549-14-5; IIf, 30549-16-7; piperidine, 110-89-4.

Supplementary Material Available: Table of rate constants and apparent activation parameters for the reaction of 2-methoxy-3nitrothiophene (If) with piperidine in methanol (1 page). Ordering information can be found on any current masthead page.

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- The agreement of experimental  $k_{\rm A}$  values with those calculated by eq 9 was excellent for all but five (items 1–5) of the kinetic runs in Table II. At (6)low piperidine concentrations, unavoidable traces of water and carbon loxide could interfere with methoxide ion and introduce inaccuracies in the calculated values of its concentration. For this reason items 1–5 have been excluded from the calculation. Equation 9 applied to items 1–3 have been excluded from the calculation. Equation 9 applied to items 6–24 gives calculated  $k_A$  values (Table II) which do not differ from  $k_A$  within the ex-perimental error. Since the term containing [MeO<sup>-</sup>] is the sole contributor to  $k_3'$ , it is possible to apply the "inversion plot" treatment<sup>7</sup> to items 6–24. The results ( $1/k_1 = 1140 \pm 40$ ,  $1/k_1k^* = 2.67 \pm 0.07$ , r = 0.994) nicely J. F. Bunnett and R. H. Garst, J. Am. Chem. Soc., 87, 3879 (1965)

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- (14) In the reaction of If with sodium methoxide in methanol, to form the Meisenheimer-type adduct, k<sub>1</sub> and k<sub>-1</sub> are of the same order of magnitude.<sup>8</sup> The reaction of the same substrate with a neutral nucleophile should involve a somewhat more like-intermediate transition state.<sup>15</sup>
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- (16) The reaction of 2-trifluoroethoxy-3-nitrothiophene with piperidine in methanol is second order overall<sup>17</sup> and has ΔH<sup>#</sup> = 12.5 kcal/mol and ΔS<sup>#</sup> = -26 eu. An estimate of the upper limits of the apparent activation parameters in Table II indicates a similar ΔS<sup>#</sup> value and a higher ΔH<sup>#</sup> value for methods in radie inforcates a similar  $\Delta S^{-1}$  value and a higher  $\Delta H^{-1}$  value for methods leaving group. According to the Hammond postulate<sup>18</sup> this implies a lower  $k_{-1}$  value for the trifluoroethody leaving group; moreover, this is more nucleofugic than the methody group. Thus, the  $K_3'k_4'/k_{-1}$  ratio is likely to be greater than unity and the overall reaction rate is controlled
- is likely to be greater than unity and the overall reaction rate is controlled by the formation of intermediate.
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  (19) The piperidino substitution reaction of 2,4-dinitroanisole in 10% dioxane-90% H<sub>2</sub>O is catalyzed by both HO<sup>--</sup> and piperidine.<sup>20</sup> If the SB-GA mechanism is assumed, the K<sub>3</sub><sup>OH</sup>/K<sub>3</sub><sup>DII</sup>/K<sub>4</sub><sup>DII</sup>/ ratio observed is 170.<sup>4</sup> The corresponding K<sub>3</sub><sup>MeO</sup>/K<sub>4</sub><sup>MeO</sup>/K<sub>3</sub><sup>DII</sup>/k<sub>4</sub><sup>DII</sup> ratio for the less activated thiophene system if is likely to be so high as to make catalysis by piperidine undetectable.
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